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# Radiation heat transfer of a Czochralski crystal growth furnace with arbitrary specular and diffuse surfaces

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Abstract—A numerical method is presented for predicting radiation heat transfer from axisymmetric bodies consisting of numerous arbitrary ring elements. Each ring element has the combined characteristics of specular and diffuse surfaces. The accuracy of the method was verified using a simple configuration. Good agreement between the present numerical and analytical solutions was obtained, and the effect of specular reflectivity was investigated. As a numerical example, radiation heat transfer of the Czochralski crystal growth apparatus was presented. The effect of specular reflection on temperature distribution on the various surfaces was examined. The present radiation transfer method was combined with the finite element package ABAQUS for conduction analysis, and the effect of specular reflectivity on temperature distribution and surface heat flux was investigated.

## **1. INTRODUCTION**

PRECISION heat-transfer control of Czochralski (CZ) crystal growth furnaces has been in great demand because of recent increases in the diameter and quality of semiconductor crystals. Kotake *et al.* [1] developed a computation system for the combined heat transfer analysis of a CZ furnace using inter-university networks.

Radiation heat transfer is important for the precision heat-transfer control of a CZ furnace. The profile of the furnace is complicated and changes with processing time. Accordingly, applying a radiation heat-transfer analysis for a simple configuration is difficult. Several existing studies [2–5] have been done on radiation heat transfer of CZ furnaces; however, these are applicable only when the surfaces are diffuse.

Surfaces are not always diffuse, but may be specular or have a combination of specular and diffuse characteristics. The surfaces of silicon solid and melt are generally specular, and metal vessels containing the furnace are usually specular. The existing radiation analysis for diffuse surfaces may contain substantial error, and precise estimation of radiation flux and temperature on the specular surfaces is difficult. There have been methods for radiation heat transfer between specular surfaces proposed by Sparrow *et al.* [6] and Masuda [7]; however, these are restricted only for very simple configurations in practical applications.

Recently, the finite element method (FEM) and boundary element method (BEM) have become popular tools for computer aided engineering (CAE). These methods can be applied to almost any geometrical configuration by a single computer program without any special knowledge, such as that required for taking pictures by an idiot camera. Also recent improvements in computer technology enable us to treat a large matrix calculation.

The authors presented a simple numerical method for analyzing radiative transfer from three-dimensional bodies of arbitrary configuration, and proposed an effective radiative exchange area for simplifying the analysis [8]. One of the authors introduced a new definition of absorbing and diffuse reflection view factors for analyzing radiative transfer of three-dimensional arbitrary bodies with specular and diffuse surfaces. The bodies comprise numerous polygons, and temperature or heat flux is arbitrarily specified on each surface [9].

The above-mentioned three-dimensional method can be applied to axisymmetric bodies. However, the calculation time needed is proportional to the square of the number of total surface elements. Consequently, the efficiency of calculation is extremely low if one constructs an analysis model from three-dimensional polygons.

In the present study, we propose a new calculation method applicable to arbitrary axisymmetric bodies consisting of numerous ring elements. The accuracy of the present method was compared with analytical solutions using a simple configuration, and the effect of specular reflection on heat transfer characteristics was investigated.

Finally radiation heat transfer of a CZ crystal growth furnace was investigated and the effect of specular reflectivity was estimated. The present method of radiation heat transfer was combined with the finite element package ABAQUS for conduction analysis. The effect of specular and diffuse reflection

## NOMENCLATURE

- $A_i$  surface element or area of the element
- $F_{i,j}$  view factor from element  $A_i$  to  $A_j$
- $F_{i,j}^{A}$  absorption view factor, equation (3)
- $F_{i,j}^{\mathbf{D}}$  diffuse reflection view factor, equation (4)
- **F** view factor matrix
- $G_i$  irradiance
- $n_{\theta}, n_{\phi}$  partition number of rays in  $\theta$  and  $\phi$  directions
- $n_p$  partition number of a surface
- N total number of surface elements
- $q_{\rm X}^*$  dimensionless heat fluxes,  $Q_{\rm X}/Q_{\rm T}$
- $Q_{\rm T}$  heat transfer rate of thermal emission,  $A_i \varepsilon \sigma T_i^4$ , Fig. 1
- $Q_{\rm X}$  heat transfer rate of net heat loss, Fig. 1
- Q heat transfer rate vector
- $T_i$  surface temperature

x, z coordinates in radial and axial directions, Fig. 2.

# Greek symbols

- $\alpha, \varepsilon$  absorptivity and emissivity
- $\Delta F$  numerical error of a view factor, equation (18)
- $\theta$  polar angle measured from normal vector of a surface
- $\rho^{\rm D}$  diffuse reflectivity
- $\rho^{\rm s}$  specular reflectivity
- $\sigma$  Stefan–Boltzmann constant
- $\phi$  circumferential angle.

## Subscripts

- *i*, *j* values on surface element  $A_i$  and  $A_j$ , respectively
- T, X values due to thermal emission and net heat loss.

on the surface heat flux and temperature distribution was investigated.

## 2. METHOD OF ANALYSIS

## 2.1. Absorption and diffuse reflection view factors

Radiation heat transfer between surfaces in nonparticipating media is a classical problem. Most of the analyses assumed a gray and diffusely emitting and reflecting surface, and introduced the view factor of a surface element  $A_i$  as follows:

$$F_{i,j} = \frac{1}{A_i} \int_{A_i} \int_{A_j} \frac{\cos \theta_i \cos \theta_j}{\pi r^2} dA_i dA_j$$
(1)

where r is the distance between elements  $dA_i$  and  $dA_j$ . The above expression for the view factor is a geometrical function of only the relative positions of surface elements. The analytical procedure for obtaining the view factor is simplified; however, radiation transfer using the view factor in equation (1) becomes very complicated [9] when the system includes specular surfaces or specular and diffuse surfaces, as shown in Fig. 1.



FIG. 1. A ring element with a specular and diffuse surface and heat balance of radiation and net heat loss.

The basic definition or physical meaning of the view factor is introduced in the present study. Then, an absorption view factor  $F_{i,j}^{A}$  and a diffuse reflection view factor  $F_{j,j}^{D}$  are introduced as follows:

 $F_{i,j}^{A}$ : the fraction of the radiation leaving surface element  $A_i$  that is absorbed by surface  $A_i$ .

 $F_{i,j}^{\mathbf{D}}$ : the fraction of the radiation leaving surface element  $A_i$  that is diffusely reflected by surface  $A_j$ .

Since a fraction of the specular reflection is either absorbed or diffusely reflected by other surface elements after several specular reflections, the specular fraction is not added to the view factors. The ray emitted from  $A_i$  may be reabsorbed by the same element  $A_i$  after specular reflections on the other elements.

In order to simplify the problem, the following assumptions are introduced.

(1) Each surface element has constant temperature, heat flux and emissivity over the surface element.

(2) The surface is gray and opaque against thermal radiation.

(3) The reflectivity and emissivity of a surface element are independent of the incident angle, as shown in Fig. 1.

The reflectivity and emissivity are not constant on actual surfaces near  $\theta = \pi/2$ . However, the effect of the dependence on heat transfer is considered to be small because of the nature of the cosine law of the emission. Silicon crystal at room temperature is transparent against radiation whose wavelength is larger than 1.1  $\mu$ m. However, high-temperature silicon solid and melt can be treated as opaque against thermal radiation [10]. In general, reflectivity of a solid surface is dependent on the wavelength. However, the spec-



Fig. 2. Analysis model of arbitrary ring elements and emission of radiation.

tral dependence is not so critical in the visible and infrared regions compared with that of absorption coefficient and emissivity of gas. Consequently, it is considered that the assumption (2) gives reasonable approximation in the present case.

According to the assumptions and referring to Fig. 1, the reflectivity of element  $A_i$  is divided by the two components of diffuse and specular reflectivity as follows:

$$\rho_i = \rho_i^{\rm S} + \rho_i^{\rm D} = 1 - \alpha_i = 1 - \varepsilon_i. \tag{2}$$

Considering equation (2) and the definition of view factors,  $F_{i,j}^{A}$  and  $F_{i,j}^{D}$  can be written as follows:

$$F_{i,j}^{\mathbf{A}} = \frac{1}{\pi A_i} \int_{A_i} \int_{h} f_i^j(\theta, \phi) \varepsilon_j \cos \theta \, \mathrm{d}\omega \, \mathrm{d}A_i \qquad (3)$$

$$F_{i,j}^{\mathsf{D}} = \frac{1}{\pi A_i} \int_{\mathcal{A}_i} \int_{h} f_i^j(\theta, \phi) \rho_j^{\mathsf{D}} \cos \theta \, \mathrm{d}\omega \, \mathrm{d}A_i \qquad (4)$$

where  $\int_{h} d\omega$  expresses integration over the hemispherical solid angle, and  $f_{i}^{j}(\theta, \phi)$  is the fraction of the ray emitted from  $dA_{i}$  in the direction of  $(\theta, \phi)$  that is intercepted by  $A_{j}$ . As shown in equations (3) and (4) and Fig. 2, the present view factor is a function not only of the geometrical configuration, but also of emission and reflection characteristics.

## 2.2. Calculation of view factors

Recent progress in computer graphics has accelerated improvement in the ray tracing method for visualizing calculated data. A radiation ray tracing method has been used for analyzing the directional emission characteristics of an involute reflector [11]. The radiation ray tracing method can result in a substantial saving of calculation time [12] compared with the Monte Carlo method. In the present analysis, the radiation ray tracing method is adopted for estimating the absorption view factors and the diffuse reflection view factors discussed in the previous section.

An analysis model for the present axisymmetric system is shown in Fig. 2. Arbitrary bodies are constructed by numerous ring elements i (i = 1, ..., N). These elements are parts of a circular disc, cylinder or cone, and expressed as follows:

$$a(x^{2} + y^{2}) + b(z - c)^{2} = d$$
  
Circular disk :  $a = 0, d = 0,$   
Cylinder :  $b = 0,$   
Cone :  $d = 0, a > 0, b < 0$  (5)

Consider a crosssection of a ring element in the xz plane, and let the start and end points be  $s_1$  and  $s_2$ , respectively. The surface area of element *i* and the centroid is expressed by the following relations.

$$A_i = 2\pi \int_{s_1}^{s_2} x \, \mathrm{d}s \tag{6}$$

$$x_{ci} = \int_{s_1}^{s_2} x^2 \, \mathrm{d}s \bigg/ \int_{s_1}^{s_2} x \, \mathrm{d}s \tag{7}$$

where s is a distance along the element in the x-z plane.

The radiation energy is emitted from the centroid of element  $A_i$ , and is divided into  $(1 + n_{\theta}n_{\phi})$  bundles of rays whose initial intensity is  $1/(1 + n_{\theta}n_{\phi})$ . The initial direction  $(\theta_i, \phi_i)$  of the ray is expressed as follows.

$$\theta_{1} = \phi_{1} = 0 \theta_{i} = \left\{ \sin^{-1} \left[ (1 + in_{\phi} - n_{\phi}) / (n_{\phi} n_{\theta} + 1) \right]^{0.5} \right\} + \sin^{-1} \left[ (1 + in_{\phi}) / (n_{\phi} n_{\theta} + 1) \right]^{0.5} \right\} / 2 \phi_{j} = 2\pi (j-1) / n_{\phi}, \text{ for } (i \neq 1, j \neq 1)$$

$$(8)$$

The rays emitted from  $A_i$  according to equation (8) are shown in Fig. 2, for the case of  $n_{\theta} = 3$ ,  $n_{\phi} = 7$ . Each ray has uniform intensity, and angular density of emission is high near the normal direction.

The pencil of the ray is traced numerically according to the following process:

(1) When the ray emitted from element  $A_i$  strikes surface  $A_j$ , the intensity of the ray is divided into the absorbed fraction  $dI^A$ , diffusely reflected fraction  $dI^D$ , and specular reflected fraction  $dI^S$  according to equation (2).

(2) Then,  $dI^A$  and  $dI^D$  are added to  $F_{i,j}^A$  and  $F_{i,j}^D$ , respectively, and the reflected ray with intensity  $dI^S$  is traced again.

(3) When the ray strikes the surface  $A_{j'}$ , the absorbed and diffusely reflected portions are added to  $F_{i,j'}^A$  and  $F_{i,j'}^D$  respectively, and the specular fraction is traced again until the ray is attenuated to less than 1% of its initial strength or absorbed completely. Note that the specular fraction of the ray carries with it the information on the intensity and the element *i* from which the ray was originally emitted; however, the ray is oblivious to the procedure by which element the ray was reflected. It should be noted that the model is axisymmetric; however, the ray tracing should be carried out in a completely three-dimensional system. Otherwise, the tracing of a skew ray cannot be treated properly, and the analysis may cause a substantial error.

After tracing the rays from all the surface elements,  $F_{i,j}^A$  and  $F_{i,j}^D$  can be obtained automatically. It should be noted that the ray tracing method described above is not only suitable for a supercomputer with a vector processor, but is also effective for computers with parallel processors because the procedure can be performed independently.

## 2.3. Radiation transfer

For analyzing radiation heat transfer between specular and diffuse surfaces, the diffuse radiosity  $J_{Di}$  is defined as follows:

$$J_{\mathrm{D}i} \equiv \varepsilon_i \sigma T_i^4 + \rho_i^{\mathrm{D}} G_i \tag{9}$$

where  $G_i$  is the irradiance on surface  $A_i$ . The diffuse radiation heat transfer rate  $Q_{J_i}$  is expressed as

$$Q_{\mathrm{J}i} \equiv A_i J_{\mathrm{D}i} = A_i (\varepsilon_i \sigma T_i^4 + \rho_i^{\mathrm{D}} G_i). \tag{10}$$

The net rate of heat loss  $Q_{Xi}$  can be derived from the heat balance on surface  $A_{i}$ , as shown in Fig.1,

$$Q_{\mathbf{X}i} = A_i \varepsilon_i (\sigma T_i^4 - G_i). \tag{11}$$

Consider the closed enclosure system composed of N surface elements. Defining the irradiation energy and emissive power on element  $A_i$  as  $Q_{Gi} \equiv A_i G_i$  and  $Q_{Ti} \equiv A_i \varepsilon_i \sigma T_i^4$ , and using  $F_{i,j}^D$  and  $F_{i,j}^A$  from the previous section, the irradiation energy and absorption energy can be expressed as follows:

$$\rho_{i}^{\mathrm{D}} Q_{\mathrm{G}i} = \sum_{j=1}^{N} F_{j,i}^{\mathrm{D}} Q_{\mathrm{J}i}$$
(12)

$$A_i \varepsilon_i G_i = \sum_{j=1}^N F_{j,i}^{\mathbf{A}} Q_{1j}.$$
(13)

Hence, equations (10) and (11) can be rewritten as follows:

$$Q_{Ji} = Q_{Ti} + \sum_{j=1}^{N} F_{j,i}^{D} Q_{Jj}$$
(14)

$$Q_{\mathbf{x}_{i}} = Q_{\mathrm{T}_{i}} - \sum_{j=1}^{N} F_{j,i}^{A} Q_{\mathrm{J}_{j}}.$$
 (15)

If we express  $F_{j,i}$  as matrix **F** and  $Q_i$  as vector **Q**, and eliminate  $Q_{j,i}$  from equations (14) and (15), the following relationship can be obtained:

$$\mathbf{F}_{\mathbf{X}}\mathbf{Q}_{\mathsf{T}} = \mathbf{I}\mathbf{Q}_{\mathbf{X}} \tag{16}$$

where

$$\mathbf{F}_{\mathbf{X}} = \mathbf{I} - \mathbf{F}^{\mathbf{A}} (\mathbf{I} - \mathbf{F}^{\mathbf{D}})^{-1}$$
(17)

and I and ()<sup>-1</sup> are the unit matrix and inverse matrix, respectively. Consider the case when each element is specified temperature  $T_i$  or heat flux  $q_{X_i}$ ; the unknown components of  $q_{X_i}$  or  $T_i$  are obtained, respectively, by solving equation (16). Details of the numerical processes were described in the previous report [9]. Introducing the rate of heat transfer vector  $\mathbf{Q}$ , instead of usual heat flux  $q_i$  and temperature  $T_i$ , and introducing  $\mathbf{F}^{\mathbf{D}}$  and  $\mathbf{F}^{\mathbf{A}}$ , the analysis from equation (16)–(21) becomes purely algebraic. Therefore, the numerical procedure can be simplified, and the conventional algebraic tools for a computer can be easily utilized.

## 3. RADIATIVE TRANSFER OF A SIMPLE CONFIGURATION

### 3.1. View factor

The present radiation ray tracing analysis for obtaining view factors was applied to a simple configuration, as shown in Fig. 3. The round container is composed of a circular disk #1 and a hollow cylinder #2. The radius of the disk and the height of the cylinder are unity.

The view factor from differential area  $dA_1$  to  $A_2$ ,  $F_{d1,2}$ , was calculated for various numbers of ray partitions  $n_{\phi}$ ,  $n_{\theta}$ . The analytical solution of the view factor  $(F_{d1,2})_a$  was obtained analytically [13]. The numerical error is expressed by the following relationship.

$$\Delta F_{d1,2} \equiv F_{d1,2} / (F_{d1,2})_a - 1.$$
(18)

 $\Delta F_{d2,1}$  can be defined in a similar manner. Figure 4 shows the accuracy of  $F_{d1,2}$  and  $F_{d2,1}$  when  $n_{\phi} = n_{\theta}$ . The abscissa expresses the position of  $dA_1$  and  $dA_2$ along the x and z axes. The accuracy of the view factors improves gradually with increasing  $n_{\phi}$  and  $n_{\theta}$ . However, the calculation time increases almost linearly with  $n_{\phi} \times n_{\theta}$ . Therefore, a compromise must be made between accuracy and calculation time. In the present case, sufficient accuracy is obtained for  $n_{\phi} = n_{\theta} \ge 40$ , and  $n_{\phi} = n_{\theta} = 40$  was chosen for the following calculation. The accuracy of the view factors was discussed in detail elsewhere [14].

#### 3.2. Heat transfer

In order to investigate the effect of element size on the accuracy of radiation heat transfer, the radiation exchange of the configuration, as shown in Fig. 3, was calculated using the present numerical method. Each panel was divided into  $n_p$  elements. Constant temperature is assumed on the surfaces, and the ambient



FIG. 3. Radiation model of a simple configuration consist of a disk and a cylinder.

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FIG. 4. Accuracy of  $F_{d_{1,2}}$  and  $F_{d_{2,1}}$  for various ray partitions when  $n_{\phi} = n_{\theta}$ .

is assumed to be black and 0 K. The emissivity on the panel and ambient is unity. The outer surfaces in Fig. 3 are assumed to be adiabatic.

Figure 5 shows the distribution of the net radiative heat flux along the surface elements for various partition numbers  $n_p$ . The net rate of heat transfer  $Q_x$  is expressed in dimensionless form  $q_x^*$ , because the system is linear against  $Q_T$ .

The solid line in Fig. 5 is a semi-analytical solution obtained as follows. Each surface element #1 and #2 was divided into 100 zones ( $n_p = 100$ ). Then, the view factors  $F_{i,j}$  were obtained analytically [13]. Radiation heat transfer was obtained in the same manner explained in Section 2.3. It is noted that the semi-analytical method is only applicable for diffuse surfaces. Therefore, the specular reflectivity is set to zero in the present numerical simulation, and the

numerical results were compared with the semianalytical solutions.

The numerical solutions with small partition number show relatively good agreement with semi-analytical solutions independent of the partition number. The case of  $n_p = 1$ , still, shows reasonable agreement with the semi-analytical solution without divergence or any numerical instability. These characteristics are similar to FEM or BEM, and are important for the analysis of arbitrary configuration.

## 3.3. Effect of specular reflectivity

Radiation heat exchange of the configuration in Fig. 3 was calculated for various specular and diffuse reflectivities. The emissivity is fixed at 0.1, and the specular reflectivity was changed from 0 to 0.9. The dimensionless net heat flux  $q_X^*$  along the surfaces of a



FIG. 5. Effect of element size on accuracy of radiation heat transfer between diffuse surfaces.



FIG. 6. Effect of specular reflectivity on net heat flux along surfaces 1 and 2 (constant temperature).

constant temperature channel is shown in Fig. 6. The semi-analytical solution explained in the previous section for the diffuse surfaces is also plotted in the figure.

If one compares  $q_x^*$  with diffuse and specular surfaces, the value with specular surface is larger than that with diffuse surface on surface #1. The opposite can be seen on surface #2. The flux on surface #2 is maximum for  $\rho^{\rm D} = \rho^{\rm S} = 0.45$ . For a three-dimensional model with unit squares, net heat flux increases monotonously with increasing specular reflectivity [9]. The effect of specular reflectivity for the case of the three-dimensional channel is not as complicated as the present axisymmetric case.

# 4. RADIATION HEAT TRANSFER OF A CZ CRYSTAL GROWTH FURNACE

#### 4.1. Analysis model

The heat transfer analysis model of a Czochralski furnace for silicon crystal growth is shown in Fig. 7. The diameter of the silicon crystal is 250 mm, and the inner diameter of the crucible is 500 mm. Solid silicon in the crucible is assumed in order to simplify the problem. The distance between the top of the crystal and the bottom of the crucible is 525 mm. A graphite heater of 650 mm inner diameter surrounds the crucible. The entire furnace is contained in a metal chamber whose inner diameter is 800 mm and height is 750 mm.

The radiation transfer model composed of ring elements is shown in Fig. 8. The silicon surface is divided into 12 elements. The crucible and heater are divided into 20 and 16 elements, respectively. The total number of ring elements is 88. The emissivity of each surface is specified by Miyahara *et al.* [4]. For the emissivity of the chamber, we adopt the value of stainless steel. Two surface conditions are considered on the silicon surface and the metallic chamber. One is the diffuse case as has been studied by many



FIG. 7. Combined heat transfer model of radiation and conduction of a Czochralski crystal growth furnace for silicon.

researchers, and the other is the specular surface with the same emissivity. The value of emissivity and surface conditions are listed in Table 1.

The temperature of the heater is constant at 1000 K. A wide variety of boundary conditions may be considered at the metal chamber. Strictly speaking, one has to take account of the heat transfer on the outer surface of the chamber. For simplicity of the problem, the bottom and side of the chamber are adiabatic, and the top surface is assumed to be at 300 K. These boundary conditions of each surface are indicated in Fig. 8. The ray partition is set at  $n_{\phi} = n_{\theta} = 80$  for the present model. For generation of



T = 1000 KDiffuse

> Specified Heat Flux Specular/Diffuse



FIG. 8. Boundary condition and surface condition on surface elements.

coordinate data and presentation of calculated data, the general purpose three-dimensional mechanical CAE software PATRAN was used.

The present method can easily be combined with a calculation package for conduction analysis. The present radiative transfer analysis was combined with a general-purpose finite element analysis program ABAQUS. The converged solution of combined heat transfer was obtained by the iteration process between radiation and conduction analyses. Once the calculation of view factors is completed, the calculation time for obtaining T and  $Q_x$  is short. These characteristics of the present analysis are suitable for the iterating process for a given configuration. Total calculation time for the view factors and radiative transfer of the above case was 320 s (for 1 CPU) by CRAY-YMP8/418.

## 4.2, Surface temperatures on adiabatic surfaces

Prior to the analysis of combined heat transfer, radiative transfer of adiabatic surfaces was conducted. The surfaces of the silicon and crucible are assumed to be adiabatic, and surface temperatures are calculated. The temperature distribution of adiabatic surfaces is

Table 1. Emissivities and surface conditions on the surfaces

Surface	Emissivity	Surface condition
Silicon	0.55	Specular/diffuse
Crucible	0.90	Diffuse
Graphite heater	0.90	Diffuse
Metal chamber	0.50	Specular/diffuse



FIG. 9. Temperature distribution on various surfaces of a CZ furnace for the case of adiabatic condition.

expressed in three-dimensional form in Fig. 9, and the surface temperatures along the silicon crystal are shown in Fig. 10.

Figure 9 compares the temperature distributions of diffuse surfaces and diffuse and specular surfaces. The temperature on the camber and the outer surfaces of the crucible does not change substantially with surface conditions. However, a marked decrease in temperature with specular surfaces on silicon crystal and the inside wall of the crucible is observed.

The same trend can be seen in Fig. 10, and the specular surfaces represent 30 K lower temperature than the diffuse surface at maximum. The temperature on the shoulder of the crystal (region A) is remarkably low. Discontinuity of temperature at the border between regions A and B is due to the assumption of an adiabatic surface on the crystal. The difference between temperature distributions for the cases of diffuse and specular surfaces is small in region A.

The effect of specular reflectivity is small when the configuration is simple; however, the effect is marked when the shape has a concave part such as regions B and C. This tendency is the same as that in the threedimensional case [9] investigated on a connecting rod of an automobile. As have been discussed in the Section 3.3, the effect of specular reflectivity on surface temperature and surface heat flux is dependent on the shape of the bodies.

#### 4.3. Combined heat transfer model

Conduction and radiation combined heat transfer analysis of the CZ furnace, as shown in Fig. 7, was conducted. The heat flux and temperature distribution on the surfaces are shown in Fig. 11. The difference in temperature on the crystal between specular and diffuse cases becomes smaller compared with the case



FIG. 10. Temperature distribution along the surface of the silicon crystal for the case of adiabatic condition.

of adiabatic walls. Yet, the specular surface shows lower temperature in regions B and C of Fig. 10. The heat flux on surface elements is shown by arrows in Fig. 11. The heat flux in region B is very small and cannot be expressed in Fig. 11. The flux on regions A and C is large for both diffuse and specular cases. As far as the heat flux is concerned, the effect of specular reflectivity is not very marked.

Temperature distributions in the cross section of the silicon solid and crucible are compared in Fig. 12, for diffuse surfaces and specular and diffuse surfaces. The effect of specular reflectivity is very small in the crucible because the surfaces are diffuse for both cases. However, the temperature distribution in silicon solid with specular surfaces shows a lower value than that with diffuse surfaces. This tendency is marked in the silicon in the crucible and region C of Fig. 10; and the difference in temperature is about 10 K. Due to



FIG. 11. Temperature distribution and surface heat flux on various surfaces of radiation/conduction combined heat transfer model.

the effect of conduction, the difference in temperature distribution between specular and diffuse surfaces decreases.

## 5. CONCLUSIONS

A numerical method was presented for radiation heat transfer of a Czochralski crystal growth furnace. The model consists of numerous arbitrary axisymmetric ring elements; and the temperature condition can be specified arbitrarily on each surface. Each ring element has combined characteristics of specular and diffuse surfaces. The method can be



FIG. 12. Temperature distribution in the cross section of silicon crystal of the combined heat transfer model.

easily combined with FEM or other heat transfer analyses.

Its accuracy was verified for a simple configuration, and good agreement with analytical solutions was obtained. The effect of specular reflection on heat transfer was examined using the configuration; the effect depends on the shape of the surface, and is more complicated compared with that of a threedimensional channel [9].

The heat transfer of the CZ model was investigated for the case of adiabatic surfaces. If specular reflectivity is considered on a metal chamber and silicon surfaces, temperature on the silicon surfaces becomes lower than that when all the surfaces are diffuse. This temperature decrease is marked when the surfaces construct a concave.

The method of radiation heat transfer of the CZ furnace was combined with a general purpose finite element method for conduction heat transfer. The effect of specular reflection on the temperature distribution becomes small compared with the one for adiabatic surfaces. Yet, the temperatures on the specular surfaces and in the cross section of the crystal show lower values than that for the diffuse case. The effect of specular reflection on the surface heat flux is small for the present model.

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